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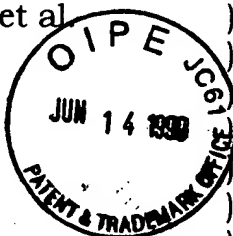
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William A. Hein et al

Serial No: 09/251,306

Filed: 02/17/99

Title: LIGHT FIXTURE



Art Unit: 2875

Attorney

Docket No: 361-2

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

Responsive to the Notice to File Missing Parts of Application, mailed March 10, 1999, enclosed are fully executed Declaration and Power of Attorney signed by applicants William A. Hein, Henry M. Avila and Peter C. Sara.

Also enclosed are Verified Small Entity Statements executed by each of the applicants and a similar Statement executed on behalf of Allied Lighting, a division of Allied Universal Suppliers establishing small entity status.

Fees are computed as follows:

Filing Fee	\$ 380.00
Claims in excess of 20 (22 x \$9.00)	198.00
Surcharge under 37 CFR 1.16(e)	65.00
Subtotal	\$ 643.00
Less fee already paid	487.00
Fee now due	\$ 156.00

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first-class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on: JUNE 10, 1999

William Patrick Waters, Reg. No. 31,899

Enclosed is a check in the amount of \$156.00. If any additional fees are due, the Commissioner is hereby authorized to deduct the same from Deposit Account 23-0505 of the undersigned.

Date: 06/10/99

Respectfully submitted,



William Patrick Waters  
Attorney for Applicants

PO Box 8  
San Marcos, CA 92079-0008  
(760) 510-1964

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Serial No: 09/251,306

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Title: LIGHT FIXTURE



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**REQUEST FOR CORRECTED FILING RECEIPT  
37 CFR § 1.19(h)**

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

Applicants hereby request a corrected Filing Receipt pursuant to the provisions of 37 CFR § 1.19(h). The need for correction was due to applicants' error and a check for \$25.00 is enclosed. The corrected Filing Receipt should show the names and addresses of the applicants as set forth in the enclosed filing receipt copy. The applicants and their respective addresses are:

William A. Hein, 607 6th Street, Manhattan Beach, CA 90266

Henry M. Avila, 5545 East Avenue, T-8, Palmdale, CA 93552

Peter C. Sara, 3525 Buena Creek Road, Vista, CA 92084

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first-class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 06/10, 1999

William Patrick Waters, Reg. No. 31,899



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/26/1,306 02/11/99 HEIN

0202/0010

NOT ASSIGNED

FEIER C. SARA  
ALLIED LIGHTING  
16776 BERNARDO CENTER DRIVE  
SUITE 140B  
SAN DIEGO CA 92128-2558

2875  
DATE MAILED:

03/10/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 799

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 273 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 396 including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ 396 for 22 dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☒ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

FORM PTO-1533 (REV.9-97)

BEST AVAILABLE COPY